

06/24/2003

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.: 102,336

First Inventor or Application Identifier: Robert C. Dye

Title: MENISCUS MEMBRANES FOR SEPARATION

Express Mail Label No.: ER311840083US

APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

1. ☒ * Fee Transmittal Form (e.g. PTO/SB/17)
(submit an original and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages: 17]
☒ Descriptive title of the Invention
☐ Cross References to Related Applications
☒ Statement Regarding Fed sponsored R&D
☐ Reference to sequence listing, a table or a computer program listing appendix
☒ Background of the Invention
☒ Brief Description of the Drawings (if filed)
☒ Detailed Description
☒ Claim(s)
☒ Abstract of the Disclosure

4. ☒ Drawings(s) (35 U.S.C. 113) [Total Sheets: 7]
☒ Formal ☐ Informal

5. ☒ Declaration & Power of Attorney [Total Pages: 2]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 C.F.R. § 63(d)
(for continuation/divisional with Box 16 completed)
c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b).

6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Copy
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documentation)

9. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

11. ☒ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

14. ☐ Nonpublication Request and Certification Under 35 U.S.C. 122(b)(2)(b)(i)

15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application S.N. 09/826,484.

Prior application information: Examiner: Thomas Noland Group/Art Unit: 2856

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

16. CORRESPONDENCE ADDRESS

☒ Customer Number 35068

OR ☐ Correspondence Address Below

(Insert Customer No. or Attach Bar Code Label here)

Name: Bruce H. Cottrell
Address: Los Alamos National Laboratory, LC/IP, MS A187
City: Los Alamos State: New Mexico Zip Code: 87545
Country: United States Telephone: (505) 667-9168 Fax: (505) 665-4424

Name: Bruce H. Cottrell

Registration No.: 30,620

Signature: *Bruce H. Cottrell*

Date: January 27, 2004

012704

02764 U.S. PTO

00727 U.S. PTO
10/766257

012704

FEE TRANSMITTAL

For FY 2004

Patent fees are subject to annual revision
(submit an original and a duplicate for fee processing)

Complete if Known

Application Number:	
Filing Date:	
First Named Inventor:	Robert C. Dye
Examiner Name:	
Group/Art Unit:	
Attorney Docket No.:	S-102,336

METHOD OF PAYMENT

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:
 Deposit Account Number: **12-2150**
 Deposit Account Name: Los Alamos National Laboratory
- ☒ Charge Any Additional Fee Required Under 37 C.F.R. 1.16 and 1.17
- ☒ Applicant claims small entity status.
 See 37 CFR 1.27

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee	Fee	Fee Description	Fee Paid
\$770	\$385	Utility filing fee	385.00
\$770	\$385	Reissue filing fee	
\$160	\$80	Provisional filing fee	

SUBTOTAL (1) \$385.00

2. EXTRA CLAIM FEES

		Extra Claims	Fee from Below	Fee Paid
Total Claims	6 -20** =	0 X	0 =	0
Independent Claims	2 -3** =	0 X	0 =	0
Multiple Dependent				=

** or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee	Fee	Fee Description
\$18	\$9	Claims in excess of 20
\$86	\$43	Independent claims in excess of 3
\$290	\$145	Multiple dependent claim, if not paid.
\$86	\$43	** Reissue independent claims over original patent
\$18	\$9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
\$130	\$65	Surcharge - late filing fee or oath	
\$50	\$25	Surcharge - late provisional filing fee or cover sheet	
\$2,520	\$2,520	For filing a request for reexamination	
\$110	\$55	Extension for reply within first month	
\$420	\$210	Extension for reply within second month	
\$950	\$475	Extension for reply within third month	
\$1,480	\$740	Extension for reply within fourth month	
\$2,010	\$1,005	Extension for reply within fifth month	
\$330	\$165	Notice of Appeal	
\$330	\$165	Filing a brief in support of an appeal	
\$290	\$145	Request for oral hearing	
\$110	\$55	Petition to revive - unavoidable	
\$110	\$55	Terminal Disclaimer	
\$1,330	\$665	Petition to revive - unintentional	
\$130	\$130	Petitions to the Commissioner	
\$50	\$50	Petitions related to provisional applications	
\$180	\$180	Submission of Information Disclosure Statement	
\$770	\$385	Filing a submission after final rejection (37 CFR 1.129 (a))	
\$770	\$385	For each additional invention to be examined (37 CFR 1.129(b))	
\$100	\$100	Certificate of Correction	
\$300	\$300	Publication fee for early, voluntary, or normal publication	
\$770	\$385	Request for Continued Examination (RCE)	

Other fee (specify) _____

SUBTOTAL (3) \$0

Reduced by Basic Filing Fee Paid

SUBTOTAL FROM 1	\$385.00
SUBTOTAL FROM 2	\$0
SUBTOTAL FROM 3	\$0
TOTAL AMOUNT OF PAYMENT	\$385

SUBMITTED BY

Complete (if applicable)

Printed Name: Bruce H. Cottrell

Reg. No. 30,620

Signature: 

Date: 01/27/04

Telephone (505)667-9168